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PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No.

AVI 007

First Inventor or Application Identifier

Michael Chen

Title

Scanner with an output port connected to a printer or a storage device.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP Chapter 200 concerning utility patent application contents.

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification (Total Pages (preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets

4. Oath or Declaration (Total Pages a. ☒ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 checked)
(Note Box 5 below)
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5. ☐ Incorporation By Reference (Use only if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. ☐ Computer Readable Copy
b. ☐ Paper Copy (identical to computer copy)
c. ☐ Statement verifying identity of above codes

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))
9. ☐ 37 C.F.R. § 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☐ Return Receipt Postcard (MPEP 203) (Should be specifically itemized)
14. ☐ * Small Entity Statement(s) ☐ Statement filed in prior application (PTO/SB/09-12) ☐ Status still proper and desired
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Other:

* NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.29).

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary statement:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CA)

of prior application No. _____

Prior application information Examiner _____

Group / Art Unit: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name	Winston Hsu			
Address	3F, No. 52, Lane 46, Ming-Sheng Road, Yung-Ho City, Taipei Hsien, Taiwan, R.O.C.			
City	State	Zip Code	234	
Country	Taiwan, R.O.C.	Telephone	886-2-2948-3200	Fax 886-2-2948-6200

Name (Print/Type)	Winston Hsu	Registration No. (Attorney/Agent)	41,526
Signature	Winston Hsu	Date	8/15/2000

Duration Statement: This form is estimated to take 40 hours to complete. Time will vary according upon the needs of the individual case. Any continuations in the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

JCS02 U.S. PTO

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FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT

(\$)

730

Complete if Known

Application Number

Filing Date

First Named Inventor

Michael Chen

Examiner Name

Group / Art Unit

Attorney Docket No.

AVI 007

METHOD OF PAYMENT (check one)

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

50-0801

North America International Patent Office

- ☒
- Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17

- ☐
- Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance

- 2.
- ☒
- Payment Enclosed:

☐ Check☒ Money Order☐ Other**FEE CALCULATION****1. BASIC FILING FEE**Large Entity Fee Code (\$)
Small Entity Fee Code (\$)
Fee Description

101	730	201	355	Utility filing fee
106	330	206	165	Design filing fee
107	540	207	270	Plant filing fee
108	790	208	365	Reissue filing fee
114	150	214	75	Provisional filing fee

Fee Paid

690

SUBTOTAL (1)

(\$)

690

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
8	0	0	0
2	0	0	0

For number previously paid, if greater. For Reissues, see below.

Large Entity Fee Code (\$)
Small Entity Fee Code (\$)
Fee Description

103	22	203	11	Claims in excess of 20
102	82	202	41	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	82	209	41	** Reissue independent claims over original patent
110	22	210	11	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)

0

FEE CALCULATION (continued)**3. ADDITIONAL FEES**Large Entity Fee Code (\$)
Small Entity Fee Code (\$)

Fee Description

Fee Paid

105	130	205	55	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	360	217	475	Extension for reply within third month	
118	1,510	218	755	Extension for reply within fourth month	
128	2,030	228	1,030	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,320	241	660	Petition to revive - unintentional	
142	1,320	242	660	Utility issue fee (or reissue)	
143	450	243	225	Design issue fee	
144	670	244	335	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
125	240	125	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	790	246	395	Filing a submission after final rejection (37 CFR 1.123(a))	
149	790	249	395	For each additional invention to be examined (37 CFR 1.123(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

40

SUBMITTED BY

Typed or Printed Name

Winston Hsu

Signature

Winston Hsu

Date

8/15/2000

Complete (if applicable)

Reg. Number

41,526

Deposit Account User ID

1665

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